



**PROFESSIONAL  
INVESTMENT  
AWARDS 2023**

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**Insights & Mandate cordially invites you to participate in the  
2023 Professional Investment Awards**

We now invite you to participate in our 6th **Professional Investment Awards** programme, which recognises outstanding achievements in the asset management industry across the region.

We will start accepting submissions on 3rd January 2023 and the deadline is 6pm (HK time) on Friday, 10th February 2023.

Please send your submissions and any supporting documents to **awards2023@insightsandmandate.com**. There is no limit to the number of awards an organisation can apply for or receive, and no application fee for submission.

The winners will be announced in the middle of March 2023 and an awards ceremony will be held in May. More details of the ceremony will be provided in March 2023. We will ask the winners to contribute to trophy production and pay for the use of the *Insights & Mandate* awards logo, and for write ups of an interview. The cost will be about USD400 per trophy, USD1,000 for the one-year awards logo license and USD9,000 per write-up, which will be produced in English and Chinese. Please note that only winners will be asked to contribute, and any decision on whether to contribute will not affect or change the awards results.

All information and data collected will only be used for the *I&M* 2023 Professional Investment Awards.

**The 2023 Professional Investment Awards are based on performance during the 2022 calendar year (1 January 2022 to 31 December 2022).**

Please read the following **Submission Guidelines** carefully before applying

### **Submission Guidelines**

1. Submissions should detail your achievements in each category for which you are applying, with a synopsis of no more than 1,000 words and any supporting documents you wish to provide.
2. Should you wish to be nominated for more than one award, separate submissions for each award are a MUST.
3. For Section A, kindly note that the different periods will be treated as separate awards. For example, if you are applying for Asia Pacific ex-Japan Equity for three years and ten years, you should make two separate submissions for three years and ten years.
4. For Section A, all performance figures and benchmark data must be provided in an Excel attachment. Please clearly identify the Excel file content with the words “Performance Data – Company Name (Fund Name)”.
5. Apart from Section A performance figures, all submissions including supporting documents MUST be in PDF format. Supporting documents for each award MUST be no more than 10 A4 size pages.
6. Please send all submissions to [awards2023@insightsandmandate.com](mailto:awards2023@insightsandmandate.com). If you have any enquiries, please address these to the same email address.
7. Please DO NOT fax or POST your submissions to us.
8. The basic information form on the next page should be completed and submitted as the FIRST PAGE of every award submission.

**Professional Investment Awards 2023**

**Name:** *(contact person/s for award submission):*

**Job title & department:**

**Company:**

**Contact number:**

**Email address:**

**Name of the award applied for and its section:**

**Number of pages in supporting documents:**

**The number of awards in total you are applying for and the number of this submission (e.g., if you are applying for 6 awards and this is the first submission, then please put 1/6):**

## **Awards Categories & Criteria for Consideration**

### **Awards Categories**

#### **A. Performance Awards:**

- Equity:
  1. ASEAN Equity (3 years / 10 years / 15 years)
  2. Asia Pacific ex-Japan Equity (3 years / 10 years / 15 years)
  3. China A-share Equity (3 years / 5 years / 10 years)
  4. European Equity (3 years / 5 years / 10 years)
  5. Global Emerging Markets Equity (3 years / 10 years / 15 years)
  6. Global Equity (3 years / 10 years / 15 years)
  7. Greater China Equity (3 years / 5 years / 10 years)
  8. Japan Small Cap Equity (3 years / 5 years / 10 years)
  9. Japan Big Cap Equity (3 years / 5 years / 10 years)
  10. US Small-Mid Cap Equity (3 years / 10 years / 15 years)
  11. US Large Cap Equity (3 years / 10 years / 15 years)
  
- Bonds:
  1. Asian Bonds (3 years / 10 years / 15 years)
  2. Asian Bonds, Local Currency (3 years / 10 years / 15 years)
  3. Asian High Yield Bonds (3 years / 5 years / 10 years)
  4. Asian High Yield Bonds, Local Currency (3 years / 5 years / 10 years)
  5. Asian Investment Grade Bonds (3 years / 10 years / 15 years)
  6. China Bonds, Onshore (3 years / 5 years)
  7. China Bonds, Offshore (3 years / 5 years)
  8. China High Yield Bonds, RMB-denominated (3 years / 5 years)
  9. China High Yield Bonds, USD-denominated (3 years / 5 years)
  10. Global Emerging Market Debt (3 years / 10 years / 15 years)
  11. Global Aggregate Bonds (3 years / 5 years / 10 years)
  12. US Credit, Investment Grade (3 years / 5 years)
  13. US High Yield Bonds (3 years / 5 years)



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- **Multi-Asset:**
  1. China (3 years / 5 years)
  2. Global (3 years / 5 years / 10 years)
  
- **Alternatives:**
  1. Asian ex-Japan Hedge Fund (3 years / 5 years / 10 years)
  2. Asian ex-Japan Fixed Income Hedge Fund (3 years / 5 years / 10 years)
  3. Asian Listed Infrastructure (3 years / 5 years)
  4. Asian Private Debt (3 years / 5 years)
  5. Asian REITs (3 years / 5 years)
  6. China Asset-Backed Securities (3 years / 5 years)
  7. China Private Debt (3 year / 5 years)
  8. China REITs (1 year / 3 years)
  9. Global Asset-Backed Securities (3 years / 5 years)
  10. Global Listed Infrastructure (3 years / 5 years)
  11. Global REITs (3 years / 5 years)
  12. Greater China Hedge Fund (3 years / 5 years)
  13. Greater China Fixed Income Hedge Fund (3 years / 5 years)
  14. US Private Debt (3 years / 5 years)
  15. US REITs (3 years / 5 years)

## **B. Market Awards:**

- **Hong Kong:**
  1. Alternative Manager of the Year (ABS / Hedge Fund / Infrastructure / Private Debt / Private Equity / REITs)
  2. Best China Fund House
  3. Best Multi-Family Office
  4. Best Fund Launch (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs)
  5. Best Institutional House
  6. Best RMB Fund Manager
  7. CEO of the Year
  8. CIO of the Year (Alternative / Equity / Fixed Income / Multi-Asset)
  9. ETF Sponsor of the Year



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10. Fintech Innovation of the Year
  11. Investment Consultant of the Year
  12. Most Innovative Product (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs)
  13. OCIO of the Year
  14. Pension Fund Manager of the Year
  15. Pension Fund Product of the Year
  16. Star Manager of the Year (individual award) (Equity / Fixed Income / Hedge Fund / Hybrid / Multi-asset / Private Debt / REITs)
  17. Wealth Manager of the Year
- **Mainland China:**
1. Alternative Manager of the Year (ABS / Hedge Fund / Infrastructure / Private Debt / Private Equity / REITs)
  2. Best Bond House
  3. Best Multi-Family Office
  4. Best Fund House
  5. Best Fund Launch (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs)
  6. Best Insurance Asset Manager
  7. Best Multi-Asset Manager
  8. Best Private Fund Manager
  9. Best Quantitative House
  10. CEO of the Year
  11. CIO of the Year (Alternative / Equity / Fixed Income / Multi-Asset)
  12. ETF Sponsor of the Year
  13. Fintech Innovation of the Year
  14. Investment Consultant of the Year
  15. Most Innovative Product (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs)
  16. OCIO of the Year
  17. Pension Fund Manager of the Year
  18. Pension Fund Product of the Year



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19. Star Manager of the Year (individual award) (Equity / Fixed Income / Hedge Fund / Hybrid / Multi-asset / Private Debt / REITs)
20. Wealth Manager of the Year
21. WFOE House of the Year

- **Taiwan:**

1. Alternative Manager of the Year (ABS / Hedge Fund / Infrastructure / Private Debt / Private Equity / REITs)
2. Best Multi-Family Office
3. Best Fund House
4. Best Fund Launch (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs)
5. CEO of the Year
6. CIO of the Year (Alternative / Equity / Fixed Income / Multi-Asset)
7. ETF Sponsor of the Year
8. Fintech Innovation of the Year
9. Investment Consultant of the Year
10. Most Innovative Product (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs)
11. OCIO of the Year
12. Pension Fund Manager of the Year
13. Pension Fund Product of the Year
14. Star Manager of the Year (individual award) (Equity / Fixed Income / Hedge Fund / Hybrid / Multi-Asset / Private Debt / REITs)
15. Wealth Manager of the Year

- **ASEAN:**

1. Alternative Manager of the Year (ABS / Hedge Fund / Infrastructure / Private Debt / Private Equity / REITs)
2. Investment Consultant of the Year
3. CEO of the Year
4. CIO of the Year (Alternative / Equity / Fixed Income / Multi-Asset)
5. ETF Sponsor of the Year
6. Fintech Innovation of the Year
7. OCIO of the Year

8. Best Multi-Family Office
9. Best Fund House
10. Best Fund Launch (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs)
11. Best Islamic Fund House
12. Best Islamic Product
13. Best Sukuk House
14. Index Provider of the Year in ASEAN
15. Most Innovative Product (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs)
16. Pension Fund Manager of the Year
17. Pension Fund Product of the Year
18. RMB Fund of the Year
19. Star Manager of the Year (individual award) (Equity / Fixed Income / Hedge Fund / Hybrid / Multi-Asset / Private Debt / REITs)
20. Wealth Manager of the Year

**C. Regional Awards:**

1. Asian Alternative Manager of the Year (ABS / Hedge Fund / Infrastructure / Private Debt / Private Equity / REITs)
2. Asian Multi-Family Office of the Year
3. Asian Investment Consultant of the Year
4. Asian Multi-Asset Manager of the Year
5. Asian RMB Fund House of the Year
6. Asian Supernova of the Year
7. Best Fund House in Asia
8. Best New Product (ABS / Equity / Fixed Income / ETF / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs)
9. CEO of the Year in Asia
10. CIO of the Year in Asia (Alternative / Equity / Fixed Income / Multi-Asset)
11. ETF Sponsor of the Year
12. Fintech Innovation of the Year

13. Index Provider of the Year
14. OCIO of the Year in Asia
15. Star Manager of the Year in Asia (individual award) (Equity / Fixed Income / Hedge Fund / Hybrid / Multi-asset / Private Debt / REITs)
16. Wealth Manager of the Year in Asia

**D. Sustainable Investment Awards:**

1. Best Sustainability Reporting
2. Climate Index Provider of the Year
3. Climate Risk Solution of the Year
4. ESG Assessment Tool of the Year
5. ESG Data Provider of the Year
6. ESG Index Provider of the Year
7. ESG Innovation of the Year
8. ESG Fund of the Year (Equity / ETF / Fixed Income / Hedge Fund / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).
9. ESG Leader Award
10. ESG Research of the Year

**Criteria for Consideration**

**Section A – Performance Awards**

For all Section A – Performance Award categories, please provide annualised returns for the required periods (one, three, five, ten or fifteen years) to 31 December 2022 and indicate the benchmark used.

For Section A, kindly note that the different periods are treated as separate awards. For example if you are applying for Asia Pacific ex-Japan Equity for three years and ten years, you should make two separate submissions for three years and ten years.

Please submit your submissions by email with monthly breakdowns and related benchmark data in an Excel file. The Excel files should be named “Performance Data – Company Name (Fund Name)”. Each award submission requires a separate Excel file showing performance.



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## Section B – Market Awards, Section C – Regional Awards & Section D – Sustainable Investing Awards

For Section B – Market Awards, Section C – Regional Awards and Section D – Sustainable Investing Awards, please send us supporting documents telling us in no more than 1,000 words why you are applying for the award and why your team is the best in the award category. The considerations of the judging panel for each award are listed below for your reference. **Your supporting documents should be based on these considerations.**

### General Considerations:

- **Alternative Manager of the Year.** The winner of this award will have obtained good, stable absolute returns over the past year (as of 31/12/2022), enhanced its reputation, increased AUM and launched new products.
- **Best Multi-Family Office.** This award will go to a multi-family office service provider that has excellent practices and offers an array of benefits—both financial and non-financial—to support more than one client family. In your application, you should tell us your service achievements in terms of financial benefits, including AUM increase in the last three years as of 31/12/2022, higher returns, lower costs, greater probability of meeting financial goals; and non-financial benefits such as efficient oversight and time saved, informed family members, implementation of the family’s long-term vision; support for generational transitions; career opportunities, privacy and relationship continuity.
- **Best Fund Launch (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** Please describe your most outstanding fund launch of 2022, detailing how the fund’s strategy is innovative, the amount of AUM raised, number of investors (retail and institutional) and the success of the fund’s marketing campaigns.
- **Best New Product (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** As above “Best Fund Launch”.
- **CEO of the Year.** This award will go to a senior executive who demonstrates outstanding leadership and capability as evidenced by total

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AUM growth and product or service development over at least three years as of 31/12/2022, the firm's profitability and governance, and the stability of its management team and employees.

- **CIO of the Year (Alternative / Equity / Fixed Income / Multi-Asset).** This award will address the achievements of a key investment officer at an asset manager or asset owner, including a pension or insurance asset management firm. The applicant should have served as CIO of his/her company for at least three years as of 31/12/2022 and demonstrate success in strategy implementation, performance, risk management and portfolio size. The stability of investment and research teams is another judging consideration.
- **ETF Sponsor of the Year.** Any company that has sponsored the successful listing of an ETF in 2022 can participate in this award. Please tell us the number of listed ETFs that you sponsored in 2022 and their combined AUM, and detail your ETF product line improvements, investor education and marketing efforts over the past three years as of 31/12/2022.
- **Fintech Innovation of the Year.** An asset manager, consultant, wealth manager, family office, fund distribution platform, retail bank or private bank can apply for this award if its product or service offering has made a substantial difference to clients using big data, artificial intelligence or machine learning technologies in 2022. Please explain your business development with the use of technology, the growth of your asset pool or client base during the period, and your product or service promotion and client education efforts. Providing client comments and reference letters is encouraged to add value to your submission, and there is no limit to the number of reference letters you can submit.
- **Investment Consultant of the Year.** This award will address the achievements of an investment consultant based on its commitment to institutional investment communities domestically and (if applicable) overseas. The winner will demonstrate a leading position in terms of service track record over at least two years as of 31/12/2022, strategic input for clients, growth in assets under advisement and consulting team stability. Integrating ESG factors into corporate governance and investment strategies is an added advantage.
- **Most Innovative Product (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** This award recognises a new product launched in 2022.

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Please detail the success of your new product's innovative features and benefits, explain your investor education programmes and describe your marketing efforts.

- **OCIO of the Year.** This award will address an OCIO (Outsourced Chief Investment Officer) service provider's achievements not only in portfolio returns, but also the calibre of its administrative and operations teams, proactivity in identifying emerging issues, and ability to work within the unique structure and culture of each client. Client comments and reference letters are particularly important in the consideration of this award.
- **Pension Fund Manager of the Year.** For this award, applicants will be assessed on their achievements with pension mandates, pension mutual funds or pension schemes. Please tell us how many pension mandates, funds or schemes you have won or launched in the last two years and detail their performance and combined AUM as of 31/12/2022. Please also explain your member communication and market education on pensions investment, and if any ESG factors are integrated into your investment strategy.
- **Pension Fund Product of the Year.** This award is for pension mutual funds and pension schemes including occupational annuity, enterprise annuity and commercial individual pension investment products. Applicants will be assessed on how well their fund or scheme is governed, their standard of risk management, diversity of product design, quality of member communication, AUM increase over the past two years (as of 31/12/2022) and if any ESG factors have been integrated into their investment strategy.
- **Star Manager of the Year (individual award) (Equity / Fixed Income / Hedge Fund / Hybrid / Multi-Asset / Private Debt / REITs).** This award recognises the outstanding performance of an individual fund or portfolio manager in each of the listed investment types, with a track record of at least three years as of 31/12/2022, a stable investment approach and ethical practices.
- **Wealth Manager of the Year.** This award recognises the service achievements of a wealth management house in the last three years as of 31/12/2022, based on its commitment to clients including financial planning, retirement and estate planning, effective investment strategy design and tax services.

## Unique Considerations:

### Section B – Market Awards

#### ■ Hong Kong

- **Alternative Manager of the Year (ABS / Hedge Fund / Infrastructure / Private Debt / Private Equity / REITs).** (See General Considerations)
- **Best China Fund House.** This award is for a Hong Kong-based fund company whose parent company or major shareholder(s) is or are Mainland corporations or natural person(s). The judging panel will evaluate candidates' AUM growth and products launched over the past three years, investment strategies, performance and risk management, the size of research and investment teams, quality of investor education and marketing efforts and the international diversity and stability of employees.
- **Best Multi-Family Office.** (See General Considerations)
- **Best Fund Launch (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** (See General Considerations)
- **Best Institutional House.** This award recognises a firm's achievements in institutional business over the past three years, including new mandates won, AUM growth, investor education and clients served. Providing client comments and reference letters is encouraged to add value to candidates' submissions.
- **Best RMB Fund Manager.** Any Hong Kong-based fund house can participate in this award. Please describe your RMB funds' AUM growth over the past three years, new RMB products launched and their investment strategies, performance and risk management and your investor education and marketing efforts.
- **CEO of the Year.** (See General Considerations)
- **CIO of the Year (Alternative / Equity / Fixed Income / Multi-Asset).** (See General Considerations)
- **ETF Sponsor of the Year.** (See General Considerations)



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- **Fintech Innovation of the Year.** (See General Considerations)
- **Investment Consultant of the Year.** (See General Considerations)
- **Most Innovative Product (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** (See General Considerations)
- **OCIO of the Year.** (See General Considerations)
- **Pension Fund Manager of the Year.** (See General Considerations)
- **Pension Fund Product of the Year.** (See General Considerations)
- **Star Manager of the Year (individual award) (Equity / Fixed Income / Hedge Fund / Hybrid / Multi-Asset / Private Debt / REITs).** (See General Considerations)
- **Wealth Manager of the Year.** (See General Considerations)

## ■ Mainland China

- **Alternative Manager of the Year (ABS / Hedge Fund / Infrastructure / Private Debt / Private Equity / REITs).** (See General Considerations)
- **Best Bond House.** This award addresses the key achievements of a Mainland China-based fund house in fixed income AUM and product growth over the last three years, its investment strategies, performance, risk management and product innovation, the stability of its investment team and quality of investor education.
- **Best Multi-Family Office.** (See General Considerations)
- **Best Fund House.** This award recognises the achievements of a fund house based in Mainland China, including its AUM growth and products launched over the past three years as of 31/12/2022, investment performance and risk management, market share gains, the stability of management and employees, and investor education, brand marketing and client services. Integrating ESG factors into investment strategies or corporate governance is a big value-add.



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- **Best Fund Launch (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** (See General Considerations)
- **Best Insurance Asset Manager.** This award is given to the asset management arm of an insurance company based in Mainland China, recognising its achievements including AUM growth, product innovation and development, liability investment performance, new mandates won and clients served over the past three years.
- **Best Multi-Asset Manager.** Multi-asset investing strategies have been increasingly adopted by Chinese fund managers in recent years. If you have a successful multi-asset business, please tell us about your investment philosophy, portfolio construction, risk management, investor education, product development and AUM, including growth over the past three years.
- **Best Private Fund Manager.** This award recognises the achievements of a registered private fund house based in Mainland China, including its AUM growth and products launched over the past three years, investment performance, risk management, stability of management and employees, investor education, brand marketing and client services. Integrating ESG factors into investment strategies and corporate governance is a big value-add. Wholly foreign-owned fund houses (WFOEs) can apply for this award.
- **Best Quantitative House.** This award recognises an asset manager with outstanding practices in quantitative investment, AUM growth and product line development over the past three years. It also considers annual performance and its stability, diversity of investment models and strategies, risk management and investor education.
- **CEO of the Year.** (See General Considerations)
- **CIO of the Year (Alternative / Equity / Fixed Income / Multi-Asset).** (See General Considerations)
- **ETF Sponsor of the Year.** (See General Considerations)
- **Fintech Innovation of the Year.** (See General Considerations)
- **Investment Consultant of the Year.** (See General Considerations)

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- **Most Innovative Product (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** (See General Considerations)
- **OCIO of the Year.** (See General Considerations)
- **Pension Fund Manager of the Year.** (See General Considerations)
- **Pension Fund Product of the Year.** (See General Considerations)
- **Star Manager of the Year (individual award) (Equity / Fixed Income / Hedge Fund / Hybrid / Multi-Asset / Private Debt / REITs).** (See General Considerations)
- **Wealth Manager of the Year.** (See General Considerations)
- **WFOE House of the Year.** This award is for a WFOE (wholly foreign-owned fund house) established in Mainland China. Applicants will be assessed on their investment platform construction, internal team development, distribution arrangements, product development, AUM growth and market acceptance over the past three years to 31/12/2022.

## ■ Taiwan

- **Alternative Manager of the Year (ABS / Hedge Fund / Infrastructure / Private Debt / Private Equity / REIT).** (See General Considerations)
- **Best Multi-Family Office.** (See General Considerations)
- **Best Fund House.** This award recognises the achievements of a fund house based in Taiwan, including its AUM growth and products launched over the past three years, investment performance and risk management, market share gains, the stability of its management and employees, and quality of investor education, brand marketing and client services. Integrating ESG factors into investment strategies or corporate governance is a big value-add.
- **Best Fund Launch (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** (See General Considerations)



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- **CEO of the Year.** (See General Considerations)
- **CIO of the Year (Alternative / Equity / Fixed Income / Multi-Asset).** (See General Considerations)
- **ETF Sponsor of the Year.** (See General Considerations)
- **Fintech Innovation of the Year.** (See General Considerations)
- **Investment Consultant of the Year.** (See General Considerations)
- **Most Innovative Product (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** (See General Considerations)
- **OCIO of the Year.** (See General Considerations)
- **Pension Fund Manager of the Year.** (See General Considerations)
- **Pension Fund Product of the Year.** (See General Considerations)
- **Star Manager of the Year (individual award) (Equity / Fixed Income / Hedge Fund / Hybrid / Multi-Asset / Private Debt / REITs).** (See General Considerations)
- **Wealth Manager of the Year (new).** (See General Considerations)

## ■ **ASEAN:**

- **Alternative Manager of the Year (ABS / Hedge Fund / Infrastructure / Private Debt / Private Equity / REITs).** (See General Considerations)
- **Investment Consultant of the Year.** This award addresses the achievements of an ASEAN-based investment consultant on its commitment to the ASEAN institutional investment community. The winner will demonstrate a leading position in terms of service track record over at least two years to 31/12/2022, strategic input for clients, growth in assets under advisement and consulting team stability. Integrating ESG factors into corporate governance and investment strategies is an added advantage.



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- **CEO of the Year.** (See General Considerations)
- **CIO of the Year (Alternative / Equity / Fixed Income / Multi-Asset).** (See General Considerations)
- **ETF Sponsor of the Year.** (See General Considerations)
- **Fintech Innovation of the Year.** (See General Considerations)
- **OCIO of the Year.** (See General Considerations)
- **Best Multi-Family Office.** (See General Considerations)
- **Best Fund House.** This award recognises the achievements of an ASEAN-based fund house (Islamic Fund houses are eligible to participate), including its AUM growth and products launched in the past three years, investment performance and risk management, market share gains, stability of management and employees, and investor education, brand marketing and client services. Integrating ESG factors into investment strategies or corporate governance is a big value-add.
- **Best Fund Launch (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** (See General Considerations)
- **Best Islamic Fund House.** This award is open to any ASEAN-based Islamic Fund house and recognises achievements in AUM growth and product development over the past three years, distribution (retail and institutional) arrangements, client service and investor education.
- **Best Islamic Product.** This award will go to an Islamic product launched in ASEAN in 2022, recognising its unique design concept, market acceptance and investor education achievements.
- **Best Sukuk House.** This award addresses the key achievements of an ASEAN-based house in Sukuk AUM and product growth over the last three years, its investment strategies, performance, risk management, product innovation, the stability of its investment team and investor education.
- **Index Provider of the Year in ASEAN:** This award will recognize an index provider's achievements which has done the most to improve investor

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outcomes through index introductions, research, and adviser support in the year of 2022. Please provide supporting data on size of assets and number of funds that track your indices in ASEAN region especially the inflow capital and new set-up products in 2022.

- **Most Innovative Product (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** (See General Considerations)
- **Pension Fund Manager of the Year.** (See General Considerations)
- **Pension Fund Product of the Year** (See General Considerations)
- **RMB Fund of the Year.** Any RMB fund launched in ASEAN in 2022 can participate in this award. Please tell us about your RMB fund's investment strategy, AUM and its growth as of 31/12/2022, performance, risk management, investor education and marketing efforts.
- **Star Manager of the Year (individual award) (Equity / Fixed Income / Hedge Fund / Hybrid / Multi-Asset / Private Debt / REITs).** (See General Considerations)
- **Wealth Manager of the Year (new).** (See General Considerations)

## **Section C – Regional Awards**

- **Asian Alternative Manager of the Year (ABS / Hedge Fund / Infrastructure / Private Debt / Private Equity / REITs).** (See General Considerations)
- **Asian Multi-Family Office of the Year.** (See General Considerations)
- **Asian Investment Consultant of the Year:** This award addresses the achievements of a consultant based on their commitment to the regional institutional investment community. The winner will demonstrate a leading service track record over at least three years as of 31/12/2022, strategic input for clients, growth in assets under advisement and consulting team stability. Integrating ESG factors into corporate governance and investment strategies is an added advantage.



# **PROFESSIONAL INVESTMENT AWARDS 2023**

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- **Asian Multi-Asset Manager of the Year.** This award goes to a fund house that has demonstrated market-leading practices in multi-asset investing, including the construction of diverse portfolios, effective risk management and investment performance over a minimum of three years as of 31/12/2022, AUM growth and investor education.
  - **Asian RMB Fund House of the Year.** This award will go to a market participant that has demonstrated its strength in RMB investment over the past three years, including product innovation, risk management, performance, research resources and the size of its RMB-related business.
  - **Asian Supernova of the Year:** This award will go to the market participant that has demonstrated the most outstanding achievements in the last 12 months in AUM growth, reputation enhancement, product innovation and client references.
  - **Best Fund House in Asia.** The winner of this category will have demonstrated its leading market position in terms of size of business, scope of service coverage, portfolio management, staffing, brand acceptance and client references.
  - **Best New Product (ABS / Equity / ETF / Fixed Income / Hedge Fund / Hybrid / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** (See General Considerations)
  - **CEO of the Year in Asia.** (See General Considerations)
  - **CIO of the Year in Asia (Alternative / Equity / Fixed Income / Multi-Asset).** (See General Considerations)
  - **ETF Sponsor of the Year.** (See General Considerations)
  - **Fintech Innovation of the Year.** (See General Considerations)
  - **Index Provider of the Year.** This award recognises an index provider for their achievements and progress in terms of size of indexes, the AUM growth of products linked to those indexes, value-added client services and market education over the past three years.
  - **OCIO of the Year in Asia.** (See General Considerations)



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- **Star Manager of the Year (individual award) (Equity / Fixed Income / Hedge Fund / Hybrid / Multi-Asset / Private Debt / REITs).** (See General Considerations)
- **Wealth Manager of the Year.** (See General Considerations)

## Section D – Sustainable Investing Awards

- **Best Sustainability Reporting.** This award addresses the ESG reporting achievements of an asset or fund manager in communicating its fund or service's performance and impacts in a wide range of sustainability topics, from environmental, social and governance parameters to the risks and opportunities it faces, giving investors greater insight into performance beyond the bottom line.
- **Climate Index Provider of the Year.** The winner of this category will be an index provider with demonstrable achievements in launching climate indexes and entering climate-related cooperation with asset managers and/or asset owners over the past three years.
- **Climate Risk Solution of the Year.** This award recognises a highly practical model or assessment tool that enables investors to quantify the impact of physical climate risk on portfolios that are particularly exposed to the consequences of climatic changes now and in the immediate or longer-term future.
- **ESG Assessment Tool of the Year.** This award will go to an asset or fund manager that has developed a highly practical tool to identify and measure value creation and mitigate any potential ESG risks for portfolios, informing clients' investment approaches and themes.
- **ESG Data Provider of the Year.** If you are a market data provider, ESG exclusive data provider or other specialist data provider, you are welcome to participate in this award. Please show how the quantity and quality of ESG data you provide is outstanding, how you obtain this data and how you synthesised it to meet clients' needs in 2022.
- **ESG Index Provider of the Year.** This award recognises an index provider's achievements in ESG index promotion over the past three years. Please explain the details of your ESG indexes, including their size, the number of products linked to these indexes and their AUM



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growth, and your provision of value-added services and market education.

- **ESG Innovation of the Year.** This award recognises an impressive innovation in ESG funds or portfolios or ESG-related services. Please explain the success of your new product or service's innovative features and benefits to the nexus of sustainability and finance.
- **ESG Fund of the Year (Equity / ETF / Fixed Income / Hedge Fund / Infrastructure / Multi-Asset / Private Debt / Private Equity / REITs).** Please describe your most outstanding ESG fund launched in the past three years, detail how the fund integrates ESG factors into its strategy, the AUM it has raised, and your investor education and marketing efforts.
- **ESG Leader Award.** This award is open to market participants with demonstrable achievements in and contributions to ESG investment, including but not limited to asset managers, index providers, listed companies, stock exchanges, asset owners and service providers. Please explain your ESG business model, market share, pattern of net ESG inflows (if any), market acceptance and education efforts.
- **ESG Research of the Year.** This award will go to a research team or research house that has published in-depth reports over the past three years which comprehensively explored environmental, social and governance issues, gave investors a succinct overview of specific ESG areas and helped them complement the traditional top-down approach to ESG valuation.